

**Petition of Exception for Review****Abandonment Notice**  
dated 04/01/07**RECEIVED**  
**CENTRAL FAX CENTER**  
**APR 11 2007****Application File 10/805,633**  
**Filing Date 03/22/2004**  
**Phillip Vincent Ridings**  
**Conf #3680****Examiner**  
**DANG, Hung Xuan**

This is in Response to the Abandonment Claim made by Examiner DANG, Hung Xuan dated April 1, 2007

**Part 1.** Applicant's failure to timely file a proper reply to the Office letter mailed on 31 July 2006?

and

**Part 7.** Via telephone interview on 3/28/07, Attorney Wilson Danial Swayze indicated that no response will be given and the application is go to abandoned...

I take exception to both issues...

**Part I. - Exceptions**

Index of Claims  
Filed by Examiner John R. Sanders  
Dated 03-09-2006

Sent me a letter stating that the only thing wrong with my application at that time were the Claims... they had to be rewritten according to proper code.

In turn I hired an EX- Examiner / Attorney Danial Swayze to rewrite my claims and resubmit them (what I was told by Mr. Swayze is that I had 6 months to respond) After three months later I contacted Mr. Swayze and he informed me that he was waiting on my approval and had not filed the NEW CLAIMS with the Patent Office... I asked him to proceed with the filing thinking I still had another 3 months left. I was wrong... I discovered.. I only had 3 months to respond...

According to your own records the New Claims were accepted and filed within your system.

**NEW CLAIMS**

Dated 06/20/2006

The New Claims and Abstract arrived in your office on 06/20/2006, just 11 days after the 3 month period I was granted to respond in a timely fashion. Granted the missing of the first dead line of 3 months is my fault best on bad information. After your office received my New Claims... my Application was put on hold status by the "Billing Department" until and Extension fee could be paid... I was informed it would just be \$65 by Mr. Swayze. The next day I was contacted and informed the new fee was \$225 because I missed the one month deadline by 5 days. However, because the letter notifying me that I needed to pay the Extension Fee sat in your office system for 14 days it arrived 5 days after the due date for the one month filing fee.

I called to talk to the billing department... I explained the situation and wondered why I was now having to pay \$225 when I received the letter 5 days after the one month cut off...and it sat in their system for 14 days before it was mailed to me... I didn't think that was fair at all. The operator then told her supervisor what happened but didn't seem to care and informed me it was still late and I would have to pay the full amount or Abandon the Application. I mailed a check for \$225 on July 27th 2006... I then asked over the phone with the same operator what would happen next. She informed me that once the payment was made the Application would be processed. Great! So I thought!

**Extension Time Granted**

Dated 07/31/06

So I waited...

From the Aug 2006 - Nov 11, 2006

Nothing was done on my file as noted in the Patent Application Information system posted on the web.

On 11/08/06 my file became a case file again and was Docketed this time to a NEW Examiner... Hung Xuan Dang.

Each month I checked on it's non progress over a simple correction with New Claims that had already been filed (03/22/06)... nothing!

For the next 5 months...Nothing!

I come home last Thursday night 04/03/07 and decided to check on any changes to my application via my web search only to discover a New Abandonment Filed by the New Examiner over the action stated in Part 1. This document obviously is not a "Notice or Office Action" but clearly shows at the bottom of the page that I had paid the Extension Fee, the check had been processed and accepted as payment with a stamped date of 08/01/2006. That upon said payment the New Claims that had already been filed on 03/09/06 would be reviewed in a timely fashion. This is not a another "Notice" to me over this new Abandonment filing... it is merely an oversight made by the examiner thinking this was a New Office Action that I was not acting on. A simple oversight that I can understand... but having called 3 time to talk to Mr. Dang with no return calls to help solve this as quickly as possible resulted in my filing this Petition of Exception.

#### **Part 7) Possible legal action on this Abandonment letter**

Indicates that Mr. Dang contact Mr. Swayze who is not on record on my application and does not have any authority to make or take any action on my application. There is no Power of Attorney on file with your office allowing anyone, other than myself, to make any decisions on my application, yet Mr. Dang called Mr. Swazye on the 03/28/07 and not me? Why, when the New Claims had already been submitted back in June of 2006 would he even place this application back into abandonment?

So for 9 months I've been waiting on the results of my Application only to have it pushed back into Abandonment because of two mistakes.

- 1) The New Claims were already on file and all that was required was an Extension Fee that was paid and granted on 31, July 2006.
- 2) The Examiner called someone who was clearly not authorized to answer any questions on my application.

FYI - While Mr. Swayze currently denies telling Mr. Dang to abandon the application! I can't ignore fact that Mr. Dang made the call and that while I don't feel Mr. Dang would lie, since its on record, Mr. Swayze would not like the idea of being sued for improper action, without written authority resulting in the loss of my patent application by having an unauthorized conversation with Mr. Dang.

While I understand the work load is great and processing over 350,000 claims a year is a very hard job... I know you are doing your best with all of them... but still you have to admit there are some holes here that where not created by me as I have complied with every letter so far! I have no doubt that had Mr. Dang called me instead I would not be in this situation now and my application would be in it's final stages of becoming a full patent.

Four years ago I started this venture 03/22/03 with my provisional patent... I'm hoping you can help resolve this issue in a timely fashion...it would be greatly appreciated! Thank you for your time and consideration!

Phillip Ridings

iQueVision  
Animated Vision Testing System  
Publication No:  
US 2005-0225720 A1

PS if you have any openings for Examiners... let me know! I hear Einstein start out his career that way!